

A Meta-Analysis of the Effect of Neurofeedback on Depression

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Abstract

This meta-analytical study examined the effect of neurofeedback (NF) on decreasing depression. The main finding of the meta-analysis was that NF resulted in a large ($g = -0.91$) decrease in depression. This finding can be explained through the various roles of brainwave oscillations in terms of both the formation and persistence of depression and the development of oscillatory patterns less compatible with depressive states. One plausible mechanism for NF's depression-reduction effect is that of the approach-withdrawal model as related to not only the asymmetrical activation of the frontal regions but also the hypoactivity of the amygdala. Future research might uncover other possible explanations for NF's observed efficacy as a means of reducing depression. The findings of the study provide some support for the utilization of NF as either a complement to the pharmaceutical treatment of depression or, given its effect size, a standalone therapy. However, because NF research base is immature in comparison to the research base on pharmaceutical antidepressants, additional analysis remains necessary.

Keywords: neurofeedback; depression; biofeedback; arousal-withdrawal model; frontal alpha asymmetry; amygdala

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Introduction

In 2017, 264 million people globally had some form of depressive disorder (James et al., 2018). The prevalence of major depressive disorder (MDD) has been between 4 and 4.7% from 1990 onwards (Baxter et al., 2014). Depression is a costly disease in terms of its impacts on (a) medical expenses, particularly in the case of treatment-resistant depression (TRD; Shin et al., 2020); (b) workplace productivity (Bubonya et al., 2017); and (c) decreased quantity and quality of life (Jia et al., 2015). For these and other reasons, there is an abiding public health interest in decreasing the prevalence and intensity of depression.

Globally, pharmaceutical treatments of depression are common (Shin et al., 2020), but there is also growing evidence for the potential efficacy of

nonpharmaceutical treatments such as psychotherapy, meditation/mindfulness, and biofeedback (BF), as in the form of neurofeedback (NF). Nonpharmaceutical approaches are particularly promising in cases of TRD, which are both more costly (Shin et al., 2020) and, by definition, more difficult to resolve through accepted treatments. Increasing interest in nonpharmaceutical approaches to treating depression has resulted in numerous studies on the possible effectiveness of NF as a depression-reducing modality.

As the body of studies on NF grows, a meta-analysis is capable of providing a more accurate estimate of the effect of NF on depression while also isolating themes related to the effectiveness of NF that require additional research attention. The two purposes of this meta-analysis are to (a) calculate a Hedges' g measure of effect size for the effect of NF

on depression symptom reduction and (b) discuss possible reasons for the observed effectiveness of NF as a nonpharmaceutical approach to the reduction of depression. The results are of interest as not only a contribution to the meta-analytical literature on NF but also as a means of identifying and discussing the theoretical reasons for NF's possible antidepressant properties.

Methods

Study data were collected with the objective of deriving a point estimate and accompanying 95% confidence interval (*CI*) for the effect of NF on depression, as measured by Hedges' *g*, a commonly preferred measure of effect size (Peng & Chen,

2014). Figure 1 below is the PRISMA flow diagram for the meta-analysis, with the primary database source for articles being PUBMED. The primary reason for study exclusion was failure to report both depression means and standard deviations for before- and after-NF conditions.

Results

Table 1 below contains a summary of the included studies ($k = 11$). Some studies contained more than one NF protocol, allowing more than one result to be extracted. The Beck Depression Inventory (BDI-II; Beck et al., 1996) was used in all but one of the included studies.

Figure 1. PRISMA Flow Diagram for Meta-analysis.

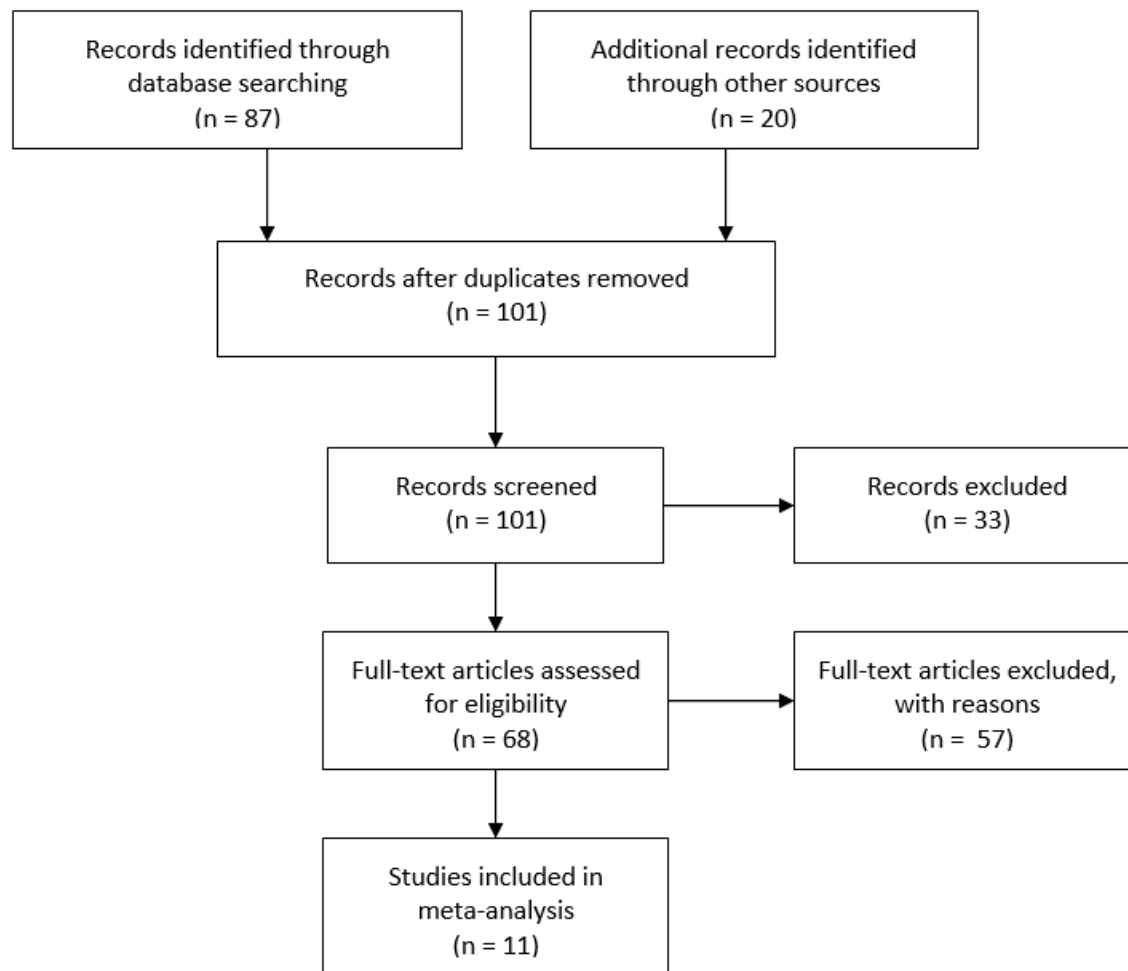


Table 1*Summary of Studies in Meta-Analysis*

Citation	Depression (D) Measure	D Before NF: <i>M (SD)</i>	D After NF: <i>M (SD)</i>	Sample Size (Control) and Description	NF Protocol
Yu et al. (2020)	BDI-II	24.90 (8.25)	16.80 (9.24)	14 (Chinese university students)	10-11 Hz uptraining, Fp1 & Fp2
Young et al. (2017)	BDI-II	27.20 (10.70)	16.10 (9.70)	18 (American adults)	rftMRI-NF
Takamura et al. (2020)	BDI-II	28.70 (8.57)	17.20 (6.12)	6 (Japanese adults)	rftMRI-NF
Wang et al. (2019)	BDI-II	30.25 (8.39)	19.83 (12.02)	24 (Chinese adults)	Alpha asymmetry
Wang et al. (2019, second protocol)	BDI-II	29.17 (11.47)	17.83 (11.20)	23 (Chinese adults)	High beta downtraining
Zotев et al. (2020)	POMS Depression	15.40 (14.00)	7.75 (10.10)	16 (American adults)	rftMRI-NF
Cheon et al. (2016)	BDI-II	25.25 (7.91)	14.63 (10.98)	20 (South Korean adults)	ATR + beta downtraining
Choi et al. (2011)	BDI-II	22.75 (12.35)	9.08 (6.92)	12 (South Korean adults)	Alpha asymmetry
Harris et al. (2021)	BDI-II	10.55 (9.66)	5.64 (6.86)	11 (American adults)	Unspecified
Hou et al. (2021)	BDI-II	20.23 (10.47)	12.08 (7.33)	13 (Chinese adults)	Alpha asymmetry, left parietal lobe
Hou et al. (2021, second protocol)	BDI-II	17.69 (7.24)	10.31 (5.98)	13 (Chinese adults)	Alpha asymmetry, right parietal lobe
Mennella et al. (2017)	BDI-II	9.75 (12.38)	6.00 (7.90)	16 (Italian adults)	Alpha asymmetry
Kim & Kim (2015)	BDI-II	27.43 (7.66)	20.21 (7.23)	14 (South Korean adults)	Unknown

In the random effects model, the effect of NF on depression was observed to be $g = -0.91$ ($-1.11, -0.70$), and this effect was significant at $p < .01$. This g value constitutes an effect that Cohen (2013) described as large and supports the claim that neurofeedback is an effective means of decreasing the symptoms of depression.

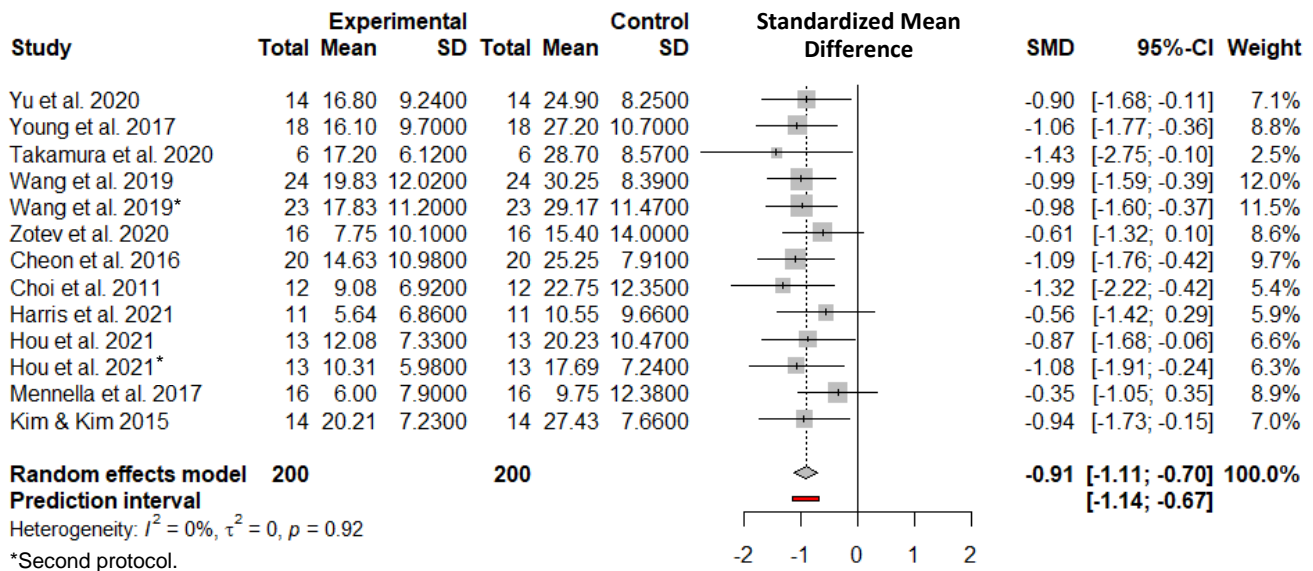
Discussion

One means of approaching the discussion is to explore how and why the following NF protocols might be effective in terms of reducing depression. In addition, particular interest should be paid to the potential role of any specific regions of the brain to which these protocols are directed. The overarching objective of this discussion is to explain the context for the meta-analytical findings and their identification of a large effect of NF on depression reduction. However, such a discussion should also be framed in the context of the standard biomedical account of depression and its treatment, primarily through pharmaceutical means

Pharmaceuticals, Depression, and the New Physics of the Brain

An appropriate means of framing any discussion of the role of pharmaceuticals in depression reduction is to summarize the major subclasses of drugs that serve as antidepressants prior to discussing the possible mechanisms for the effectiveness of such drugs. Lenart and Fekete (2021, p. 286) provided a classificatory scheme that distinguishes between (a) typical antidepressants (comprising monoamine oxidase inhibitors; tricyclic antidepressants; selective serotonin reuptake inhibitors, or SSRIs; and serotonin-norepinephrine reuptake inhibitors, or SNRIs); and (b) atypically antidepressants (including tetracyclic antidepressants; norepinephrine-dopamine reuptake inhibitors, or NDRIs; norepinephrine reuptake inhibitors, or NRIs; and serotonin antagonists). Lenart and Fekete, who were particularly interested in angiotensin receptor blockers (ARB) as antidepressants, noted that this class of pharmaceutical has a suite of anti-inflammatory, antiapoptotic, and antioxidative properties that are cumulatively neuroprotective.

Figure 2. Forest Plot, Random Effects Model, Pooled Hedges g for Meta-analysis.



However, summarizing the state of knowledge in 2021, Lenart and Fekete concluded both that there is no integrated and comprehensive account of depression’s underlying pathological mechanisms and that “medications are only partially effective” (Lenart & Fekete, 2021, p. 288).

Notable in Lenart and Fekete’s (2021) summary is the identification of many classes of pharmaceuticals, some with highly distinct mechanisms of action. The rationale for the existence of several possible pharmaceutical strategies for treating depression is the complexity of depression itself. To begin with, depression can involve different structures, functions, and pathologies within the brain—for instance, as Kim (2015, p. ix) noted, hypoactivity in the hypothalamic-pituitary-adrenal (HPA) axis is correlated more with atypical depression, whereas hyperactivity of the HPA is correlated more with the melancholic subtype of depression. More generally, Kim called attention to the complementary validity of cognitive, biomolecular, and quantum-physical perspectives (see Schwartz et al., 2005) in understanding depression. These perspectives are, as Kim noted, entangled with various complexities in gene-environment interactions that are specific to individuals who are depressed or at risk for depression.

As both Kim (2015) and Lenart and Fekete (2021) noted, there is consensus on the complexity of depression. Schwartz et al.’s (2005) discussion of quantum physics in relation to neuroscience is

relevant to the complexity of any mental disease state. Schwartz et al. noted that the brain cannot be modeled in alignment with the assumptions made by classical physics; quantum physics introduces elements of uncertainty, complexity, and agent choice that prevent, in principle, the application of simple brain-state modeling and the nomological reduction of certain pathologies to certain states of the brain.

Schwartz et al.’s (2005) invocation of the relevance of quantum physics to neuroscience is intended to clarify, not obscure, the neuroscientific research paradigm as it applies to mental illnesses such as depression. Schwartz et al. noted that the function of the brain along quantum-physical as well as classical physical principles means that there are likely to be parallel structures and functions in place of single, reductive pathophysiological pathways. In other words, as Kim (2015) also noted, there will likely never be a single account of depression; it would be more apt to conceptualize depressions in place of depression, with each depression due to various and possibly unique combinations of genetic predisposition, environmental characteristics, agent choice, cognitive strategies, biomolecular function, and structural properties of different parts of the brain.

The pharmaceutical view of treating depression has gone through three phases. In the first phase, the accompanying biomedical paradigm was reductive, with the presumption being that depression is a brain state, or an array of brain states, arising from

properties of the brain that can be pharmaceutically acted on and cured (Kelly, 2020). In the second phase, TRD and the accumulation of data gave rise to a more complex view of pharmaceutical treatment, one in which a purely reductionistic and mechanistic view of psychopharmacology was challenged (Young & Moulton, 2020). In the third phase, there is a general acknowledgement (Lenart & Fekete, 2021) that (a) depression is complex, (b) pharmaceutical approaches are at least partially effective, and (c) there is no unified explanatory account of the effectiveness or ineffectiveness of different pharmacological approaches.

The fundamental existence of uncertainty and parallelism (Schwartz et al., 2005) in neuroscience as a result of quantum-physical challenges to the classical model of physics is not an argument for abandoning the search for physical laws, models, and methods that apply to the brain. However, one result of the paradigm shift in physics (and in neuroscience by way of physics) should be, as Schwartz et al. implied, an epistemic and methodological humbleness in the study of the brain. In a many-paths account of depression such as that of Kim (2015), there is ample room for different ways of studying and treating depression—including, but not limited to, various discrete and overlapping cognitive, molecular, computational, therapeutic, and pharmacological approaches and stances, leading to a multifactorial treatment approach (Sathappan et al., 2019). In such an approach, the guiding research question is not whether nonpharmaceutical approaches to depression work *per se*; rather, the question is how effective such approaches can be measured to be in terms (such as the *g* or *d* measures of effect size) comparable to pharmaceutical approaches.

NF Protocols and Depression

One of the most widely attested NF protocols for the reduction of depression involves frontal alpha asymmetry reduction. There is also evidence for the effectiveness of real-time fMRI-based NF. Finally, less common NF protocols for depression reduction have also been proposed. The evidence and rationale for several NF protocols are discussed below.

Frontal alpha asymmetry is a state in which there is more activity in the left frontal cortex vis-à-vis the right frontal cortex (Harmon-Jones, 2003). Relatively higher left frontal cortical activity is, in turn, associated with positive affect, whereas relatively higher right frontal cortical activity is associated with negative affect (Tomarken et al., 1992). Frontal

alpha asymmetry thus either causes, or is correlated with, the predominance of negative over positive affect. Harmon-Jones in particular is associated with a refinement of this hypothesis, suggesting (Harmon-Jones, 2003; Harmon-Jones et al., 2010) that frontal activation asymmetry should not be understood in terms of affect. This version of the asymmetry hypothesis in relation to emotion and behavior rests on the claim (perhaps first advanced by Davidson, 1992) that greater activation of the left frontal cortex is associated with greater approach motivation, which can include negative and positive affects. On the other hand, a predominance of the right frontal cortex indicates withdrawal motivation.

Both of these explanatory accounts of frontal asymmetry are relevant to depression. In the older account (Tomarken et al., 1992), frontal asymmetry is a marker of depression insofar as negative affects predominant over positive affects. In the newer account (Harmon-Jones, 2003; Harmon-Jones et al., 2010), frontal asymmetry's role in depression is to promote withdrawal over approach. Both of these accounts complement the American Psychological Association's (APA, 2013) description of the symptomatology of depression. According to the APA, depression combines negative affects (such as mood) with a lack of arousal (as related to thought and behavior) and withdrawal from hitherto pleasurable activities. However, even though the affect-based account of frontal asymmetry appears to be compatible with the symptomatology of depression, it is the approach- and withdrawal-related account of frontal asymmetry that has come to be accepted in the context of applied psychology (Briesemeister et al., 2013). Briesemeister et al. (2013) conducted a meta-analysis of psychological experiments and found that frontal alpha asymmetry existed in 73.4% of scenarios in which subjects were confronted with an approach/withdrawal task, but only in 19.6% of scenarios in which no task was present.

If frontal alpha asymmetry is associated with increased withdrawal or negative affect, then increased frontal alpha symmetry should be associated with increased arousal or positive affect, which, in turn, should be associated with a reduction in depression symptoms. However, the meta-analysis conducted by Van Der Vinne et al. (2017) did not find a statistically significant effect of the existence of frontal alpha asymmetry on the diagnosis of depression, raising an important question about whether frontal alpha asymmetry has diagnostic validity with respect to MDD and other depression-related disorders. On the other hand,

several of the studies cited by Briesemeister et al. (2013) suggested that frontal alpha asymmetry has predictive validity with respect to depression. In addition, diagnostic validity can be treated separately from the experimental question of whether, in the presence of treatment for depression, frontal alpha asymmetry is observed to decline.

Frontal alpha asymmetry, being measurable through electroencephalograms (EEG) collected in the context of NF research and interventions, can be reviewed in light of several of the studies included in the statistical meta-analysis whose results are presented above. Before such a discussion, it should be noted that there is a possible ambiguity in how the concept of asymmetry is approached. In some measurement approaches, an asymmetry increase is coded so as to reflect the reduced activation of the right frontal area; here, an asymmetry increase has the same functional meaning as an asymmetry decrease, that is, the state of the left and right frontal areas converging rather than diverging. Increasing asymmetry can mean reducing the activation of the right frontal area relative to the left, and decreasing asymmetry can mean achieving a state in which the left and right frontal areas converge in activation. Thus, even though the same underlying phenomenon is being measured, the manner in which it is conceptually and operationally approached can result in ambiguities in terminology. For the sake of clarity, the concept of asymmetry decrease has been applied to the various NF studies on this topic, with an asymmetry decrease representing the convergence of hyperactivated right frontal areas to the activation levels of left frontal areas.

Wang et al. (2019) reported that 4 out of 7 subjects who received NF designed to reduce frontal alpha asymmetry experienced a symmetry reduction, whereas the remaining participants experienced increased asymmetry. Wang et al. coded asymmetry reduction as an increase in the relative activation of alpha in the right frontal lobe. One of the special points in interest in Wang et al.'s study is that the individuals who experienced frontal alpha asymmetry reduction were also those who experienced a significant decline in depression; the individuals who did not experience frontal alpha asymmetry reduction also did not report a decline in depression. This finding was not reflected in Mennella et al.'s (2017) study, in which changes in frontal alpha asymmetry were not correlated with depression. However, unlike Wang et al., Mennella et al. did not analyze high responders to frontal

alpha asymmetry reduction as a separate subclass, which would have disclosed whether individuals with marked reductions in frontal alpha asymmetry subsequent to NF also happen to report significantly lower depression scores.

Research on the various possible interrelationships between depression, frontal alpha asymmetry, and NF is in its relative infancy. However, a review of the NF literature suggests that front alpha symmetry reduction is, by means of arousal-withdrawal theory (Davidson, 1992), a plausible mechanism for reducing depressive symptoms. Another commonly utilized NF approach to depression reduction, rtfMRI-NF, also deserves close consideration because of the frequency with which it has been applied in NF practice.

In discussing the neurophysiological basis for rtfMRI, Young et al. (2017) cited the importance of the amygdala, which, as they noted, is overactivated relative to negative stimuli and less activated with respect to positive stimuli (Suslow et al., 2010; Victor et al., 2010), resulting in an overall hypoactive state in depression. Young et al. cited two prior rtfMRI-NF-based interventions in which depression reduction appears to have been achieved by means of stimulating increased activity in the amygdala. In their baseline analysis, Young et al. first confirmed that the amygdala was hemodynamically hypoactive among depressed patients, then confirmed that amygdala regulation increased significantly among participants in the rtfMRI-NF group. Because depression decreased significantly among those exposed to rtfMRI-NF, Young et al. drew the conclusion that the primary mechanism for depression reduction was increased activation of the amygdala.

The arousal-withdrawal model (Davidson, 1992) of depression can explain the results obtained by Young et al. (2017)—as well as the results obtained by Takamura et al. (2020) and Zotev et al. (2020), which were also obtained in the context of a hypothesis about amygdala hypoactivity as a correlate and substrate of depression. The hypoactive amygdala is, in essence, both a cause and an epiphenomenon of withdrawal and disengagement. If so, then rendering the amygdala more active through rtfMRI-NF has the potential to reduce depression, as found in the recent research (Takamura et al., 2020; Young et al., 2017; Zotev et al., 2020). The theoretical story appertaining to NF, depression, and amygdala is, in this way, just as explanatorily compelling as the narrative that links NF, depression, and frontal asymmetry.

Conclusion

Depression is perhaps the most widespread mental illness or disorder in the world (James et al., 2018) and is responsible for immense economic, social, and individual costs (Bubonya et al., 2017; Jia et al., 2015; Shin et al., 2020). Although there is consensus on the need to reduce depression, the question of how to achieve this reduction is increasingly open to challenge, redefinition, and exploration. Although there is no doubt that, on the whole, antidepressant pharmaceuticals work (Young & Moulton, 2020), there is also compelling evidence that nonpharmaceutical interventions work (Kim, 2015). The contribution of this study was to demonstrate that NF is also an effective depression-reducing modality through the calculation of a pooled effect size, g , for numerous studies on depression and NF. In addition, the discussion of the underlying explanations for the efficacy of NF in this context called attention to the relevance of the arousal-withdrawal model of depression as it applies to frontal asymmetry and the activation of the amygdala. The effectiveness of NF against depression is not only observationally attestable through meta-analysis but theoretically describable through existing, well-supported models of depression and brain function. However, given the immaturity of the research base on NF, more work is necessary to deepen the claim for the effectiveness of this modality as either an alternative or a complement to pharmaceutical antidepressants.

Author Declaration

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